



RCE/3743

#25F
6/12/03
H

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL	
Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).	
Application Number	09/493,677
Filing Date	January 28, 2000
First Named Inventor	Kaoru SATO, et al.
Group Art Unit	3743
Examiner Name	Leonard R. Leo
Attorney Docket Number	43890-401

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Other _____

2. **Miscellaneous**

a. ☐ Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.1.03(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 500417.

i. ☒ RCE fee required under 37 C.F.R. § 1.17(e) \$750.00

ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)

iii. ☐ Other _____

b. ☐ Check in the amount of \$____ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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TECHNOLOGY CENTER R3700

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	Lawrence T. Cullen	Registration No. (Attorney/Agent)	44,489
Signature	<i>L. Cullen</i>	Date	6/6/03

CERTIFICATE OF MAILING OR TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner For Parents, Box RCE, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:			
Name(Print/Type)			
Signature		Date	

06/09/2003 SDENB01 00000120 500417 09493677

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Docket No.: 43890-401

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kaoru SATO, et al.

Serial No.: 09/493,677

Filed: January 28, 2000

Group Art Unit: 3743

Examiner: Leonard R. Leo

For: HEAT SINK, METHOD OF MANUFACTURING THE SAME AND COOLING APPARATUS USING
THE SAME

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TECHNOLOGY CENTER R3700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is an Amendment in the above-identified application.

☐

No additional fee is required.

☐

Applicant is entitled to small entity status under 37 CFR 1.27

☒

Also attached: Amendment, Request for Continued Examination (RCE)

The fee has been calculated as shown below:

	NO. OF CLAIMS	HIGHEST PREVIOUSLY PAID FOR	EXTRA CLAIMS	RATE	FEE
Total Claims	22	22	0	\$18.00 =	\$0.00
Independent Claims	6	7	0	\$84.00 =	\$0.00
Multiple claims newly presented					\$0.00
Fee for extension of time					\$0.00
RCE					\$750.00
Total of Above Calculations					\$750.00

☒ Please charge my Deposit Account No. 500417 in the amount of \$750.00. An additional copy of this transmittal sheet is submitted herewith.

☒ The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment, to Deposit Account No. 500417, including any filing fees under 37 CFR 1.16 for presentation of extra claims and any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



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Date: June 6, 2003



Docket No.: 43890-401

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kaoru SATO et al.

Serial No.: 09/493,677

Filed: January 28, 2000

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Group Art Unit: 3743

Examiner: Leonard R. Leo

For: HEAT SINK, METHOD OF MANUFACTURING THE SAME AND COOLING
APPARATUS USING THE SAME

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TECHNOLOGY CENTER R3700

AMENDMENT

Box AF
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Office action dated March 31, 2003, having a three-month shortened
statutory period for response set to expire on June 30, 2003, an RCE being filed herewith,

Applicants respectfully request the above application to be amended as follows: